

CONFERENCE

FRIDAY, 20 NOVEMBER 2020



PRACTICAL
SESSION

RISK BASED APPROACH & AML-CTF risk assessment in the fund industry

Which are the best practices?

UNIQUE CONFERENCE
100% REMOTE



www.ifebenelux.lu



Amélie Bry

Conference Manager
abry@abilways.com



Madam, Sir,

What does a Risk Based Approach mean for you? How shall you build a successful FATF awareness training program?

Attend this intensive and practical one-day **training course** and learn more about:

- The **CSSF publications** and **regulations**
- The FATF key words for the next FATF visit
- **Design an effective Anti-Money Laundering Program** to support your organization and comply with your regulatory obligations.
- **Establish and strengthen controls** to combat terrorist financing and terrorism financing within your organization
- **Understand guidelines** designed to detect, escalate and resolve the money laundering, and terrorist financing issues

Looking forward to welcoming you to this practical training,

Regards,

WHO SHOULD ATTEND THIS CONFERENCE?

- Fund managers
- Specialist fund managers
- Conducting Officers
- Compliance, Risk, Governance Officers
- Regulators
- Administrative Officers
- Supervisors
- Anyone who might be involved to build or understand a FATF awareness training program

OBJECTIVES

- Design and execute effective AML and CTF programmes with this course
- Enquire the best practices of the RBA
- Know and prevent the risks



METHODOLOGY

- A **remote connection**, thanks to identifiers sent with your invitation, which you can test a few days before the D-day.
- **Detailed documentation** sent before or at the time of the conference.
- **Practical presentations** by recognised specialists who are experts in distance learning.
- **Reserved time for exchanges** between speakers and participants after each presentation.
- **Constant connectivity** of all participants thanks to live chat with a moderator.



FRIDAY, 20 NOVEMBER 2020 – LUXEMBOURG

SPEAKER:

Elisa DA SILVA - Compliance adviser - **DS COMPLIANCE**

8.45 Registration

9.00 Chairwoman's introduction & opening remarks

9.15

Legal framework: where do we stand?

- AML EU Directives
- AML-CTF law dated 12/11/2004 & CSSF Regulation 12-02 as amended
- CSSF Circulars 18/698 & 19/732 (Beneficial owner)

10.15

FATF, EU, CSSF and ALFI Guidelines: how to proceed?

- FATF Guidance for RBA/Securities sector & other FATF guidance
- ESA Guidelines on AML ("the Risk Factors Guidelines")
- ML/TF Sub-sector Risk Assessment/Collective Investments (compulsory)
- FAQ CSSF dated 25/11/2019: RR & RC in the fund industry (roles & responsibilities)
- ALFI Guidelines on Practices and Recommendations aimed at reducing the risk of money laundering and terrorist financing in the Luxembourg Fund Industry

11.15 Individual break time

11.45

FATF visit: how to get ready ?

- CSSF conferences, AML/CTF questionnaires & recommendations
- Key words of FATF publications
- Know your AML/CTF internal procedures: internal training to the Management & Compliance/MLRO functions

12.45 Q/A

13.00 Individual break time

14.30

How to implement an effective AML/CTF control framework?

- AML/CTF risk appetite at Board level
- Specificities of your activities
- Combination of risk assessment criteria (country, customer, product & intermediary)
- Risk assessment & enhanced due diligence
- Internal procedures & controls (including foreign branches & subsidiaries)

15.30 Individual break time

15.45

How to develop a risk methodology?

- Distribution risk mapping & scoring
- Fund risk analysis (including the assets)
- Internal assessment of AML/CTF risks (inherent; residual risks and mitigation measures)

16.45 Q/A

17.00 End of the conference

REGISTRATION DETAILS



Programme Information

Amélie Bry • abry@abilways.com

Information about registration

IFE by Abilways
2, Place de Paris – L-2314 Luxembourg
Tel.: +352 28 488 819
serviceclient-lu@abilways.com

Price (excl. 3 % TVA)

850 € excl. VAT

Training eligible for co-financing

The registration fee includes full course documentation.
You may pay by (please quote participant's name):
transfer should be made to: BGL BGL BNP Paribas
IBAN : LU24 0030 2485 2343 0000 - Code BIC : BGLLLULL, please
quote EFE Luxembourg S.A.R.L. and the invoice number.

Registration

Upon reception of your application form, you will receive
your registration's confirmation. A notification will be sent
to you 10 days before the training.

The Abilways Group (IFE Luxembourg, IFE Belgium, EFE, CFPJ, ISM, ACP
TRAINING, PYRAMYD, IDCC, ABILWAYS DIGITAL) is responsible for the
processing of your personal data and as a trusted partner, we want
our customers to be assured that we make every effort to ensure the
protection of their personal data and the respect of their rights.

IFE implements a processing of personal data whose purpose is the
management, monitoring and organization of training. These data are
needed to process this form and are kept the time necessary for the
purposes for which we collect them, unless otherwise required by law.
All additional information relating to our policy on personal data, our
policy on the management of cookies and our Terms and Conditions are
available on our website www.ifebenelux.lu. The Abilways Group may
provide you with commercial information by mail, telephone or electronic
mail that may be of interest to you. In accordance with the provisions of
the law of 02/08/02 on the respect of the private life and the European
Data Protection Regulation of 27 April 2016, you have a right of access,
rectification, erasure and interrogation of data concerning you. You also
have the right to request a limitation of the treatment and the right to the
portability of your data.

You can also oppose any subsequent sending of commercial
communication. You can exercise these rights by e-mail at this adresse :
correctionbdd@ifexecutives.com or by post: 16A, Avenue de la Liberté -
L-1930 Luxembourg.

I agree to receive commercial information from Abilways Group partners

Cancellation

Communicated in writing, cancellation gives rise to
a refund or a credit note for the full amount if it is
received fifteen days at the latest before the start of
the training. Received later than this, the contribution
amount withheld, as flat-rate compensation, will be 30%
if cancellation is received 10 days inclusive before the start
of the training, 50% if it is received less than 10 days before
the start of the training or 100% if the Company receives
cancellation less than three days before the start date.

Replacement participants are accepted at any time, free of
charge. The names and details of the replacements must
be communicated in writing.

Date

FRIDAY, 20 NOVEMBER 2020
ON-LINE

To modify your professional data

Phone: +32 (0)2 533 10 20 - E-mail: correctionbdd@ifexecutives.com

You may photocopy and/or pass this form to interested persons.

YES, I wish to register for the conference **“RISK BASED APPROACH
& AML-CTF risk assessment in the fund industry”**

on FRIDAY, 20 NOVEMBER 2020 (code 26438)

Should the personal details need to be changed, please mention any modifications on the form below
(thank you for using CAPS). You can also send a copy of your business card.

Mr Mrs Ms

Name and surname _____

E-mail* _____

Job Title _____

Training manager _____

Legal manager _____

Company _____

VAT No _____

No. of employees on your site _____

Address _____

Postcode City _____

Country _____

Tel _____

Mobile nr _____

Signature: _____

**Necessary to send you your invitation*

