

INFORMATION AND REGISTRATION

Information and registration
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Registration details

IFE by Abilways
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Price (excl. 3 % TVA)

450 € excl. VAT

50 € excl. vat discount on each registration received by 11 January 2021
(code REDUC50)

Training eligible for co-financing

The registration fee includes full course documentation.
You may pay by (please quote participant's name): transfer should be made to: BGL BNP Paribas
IBAN: LU24 0030 2485 2343 0000 - Code BIC: BGLU3333, please quote EFE Luxembourg S.A.R.L. and the invoice number.

Registration

Upon reception of your application form, you will receive your registration's confirmation. A notification will be sent to you 10 days before the training.
The Abilways Group (IFE, Belgium, EFE, CFP, ISM, ACP TRAINING, PYRAMD, IDCC, ABILWAYS DIGITAL) is responsible for the processing of your personal data and as a trusted partner, we want our customers to be assured that we make every effort to ensure the protection of their personal data and the respect of their rights.

IFE implements a processing of personal data whose purpose is the management, monitoring and organization of training. These data are needed to process this form and are kept the time necessary for the purposes for which we collect them, unless otherwise required by law. All additional information relating to our policy on personal data, our policy on the management of cookies and our Terms and Conditions are available on our website www.ifebenelux.lu. The Abilways Group may provide you with commercial information by mail, telephone or electronic mail that may be of interest to you. In accordance with the provisions of the law of 02/08/02, on the respect of the private life and the European Data Protection Regulation of 27 April 2016, you have a right of access, rectification, erasure and interrogation of data concerning you. You also have the right to request a limitation of the treatment and the right to the portability of your data.

You can also oppose any subsequent sending of commercial communication. You can exercise these rights by e-mail at this address : correctionbdd@ilexecutives.com or by post: 16A, Avenue de la Liberté - L-1330 Luxembourg.

I agree to receive commercial information from Abilways Group partners

Cancellation terms

Communicated in writing, cancellation gives rise to a refund or a credit note for the full amount if it is received fifteen days at the latest before the start of the training. Received later than this, the contribution amount withheld, as flat-rate compensation, will be 30% if cancellation is received 10 days inclusive before the start of the training, 50% if it is received less than 10 days before the start of the training or 100% if the Company receives cancellation less than three days before the start date.

Replacement participants are accepted at any time, free of charge. The names and details of the replacements must be communicated in writing.

Date and venue

9 MARCH 2021 - MORNING SESSION - REMOTE CONFERENCE

Caution: the place of the training course is likely to be modified. Thank you to refer to the convocation which will be given 10 days before the training course



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10-32-3010

REGISTRATION FORM

YES, I wish to register for the conference "ANTI-MONEY LAUNDERING & PRACTICAL QUESTIONS FOR THE FUND INDUSTRY" on 9th March 2021 (morning) (Code 26450B)

YES, I register by 11 January 2021 and benefit from 50 € excl. VAT discount (CODE REDUC50)

Name and surname _____

E-mail* _____

Job Title _____ Company _____

VAT No. _____ Areas of Practice _____ No. of employees on your site _____

Address _____

Postcode _____ City _____

Tel _____

Mobile nr _____ Invoice address (if different) _____

Signature: _____

*Necessary to send you your invitation

26450B WEB

CONFERENCE

9 MARCH 2021 (MORNING)

LUXEMBOURG

ANTI-MONEY LAUNDERING & PRACTICAL QUESTIONS FOR THE FUND INDUSTRY

Current regulations, tips and tricks

UNIQUE CONFERENCE
100% REMOTE

50 €

EXCL. VAT DISCOUNT
ON EACH REGISTRATION
RECEIVED BY
11 JANUARY 2021
(CODE REDUC50)

www.ifebenelux.lu





Amélie Bry
Conference Manager
abry@abilways.com

If you are an experienced professional in the funds, this roundtable should catch your attention. This remote conference aims to deal with the **hot topics**, the **latest developments** in professional obligations to fight **against AML** in the **fund industry**.

This **intensive** and **highly practical** half-day training course is dedicated to those selected topics:

- **Interaction with CSSF and AML/FT oversight**
- **AML/CFT controls on assets – practical implementation**
- **CSSF regulation 20-05 gap analysis**
- **Funds distribution oversight/ Know Your Distributor**

We will focus on their practical implications for your day-to-day work.

Looking forward to welcoming you to this practical training,

WHO SHOULD ATTEND THIS CONFERENCE?

- Compliance Officer
- AML Officer in banks, insurance companies, investment funds
- Head of Compliance, Compliance Analyst, AML Compliance
- Auditors
- Accountants, chartered accountants
- CEOs
- Head of legal, head of audit division, head of internal oversight
- Sales departments Managers
- Agency Managers
- International transactions Managers
- Bankers, insurers, financiers
- Lawyers
- Wealth Managers

OBJECTIVES

- Master the latest amendments of the AML regulations
- Incorporate CSSF's recommendations into your practice
- Anticipate the practical questions following the implementation of the new Compliance requirements in the fund industry

UNIQUE
CONFERENCE
100%
REMOTE

TRAINING MATERIALS

- A **remote connection**, thanks to identifiers sent with your invitation, which you can test a few days before the D-day.
- **Detailed documentation** sent before or at the time of the conference.
- **Practical presentations** by recognised specialists who are experts in distance learning.
- **Reserved time for exchanges** between speakers and participants after each presentation.
- **Constant connectivity** of all participants thanks to live chat with a moderator.

ANTI-MONEY LAUNDERING & PRACTICAL QUESTIONS FOR THE FUND INDUSTRY

MARCH 9, 2021

CHAIRMAN: Christophe BECUE

Conducting Officer - Governance, Compliance & Risk Management
EASTSPRING INVESTMENTS

8.30 Registration

8.45 Opening speech

PRACTICAL QUESTIONS YOU NEED TO ASK YOURSELF

9.00

Interaction with CSSF and AML/CFT oversight

- RBA Questionnaire 2020
- CSSF onsite inspection (preparation and experience sharing)
- CSSF oversight and long form reports

Christophe BECUE

Jose Luis PEREZ

Conducting Officer

**FRANKLIN TEMPLETON
LUXEMBOURG S.A**

Jose Maria CAMPOS DONDERIS

Compliance Manager

**ROTHSCHILD & CO INVESTMENT
MANAGERS**

9.45

AML/CFT control on assets - practical implementation

- CSSF regulation 20-05 requirements
- Listed assets versus real and other assets
- Risk assessment for PERE funds practical examples

Steven CURFS

Conducting Officer

ENGELWOOD ASSET MANAGEMENT S.A

Hervé BALLONE

Conducting Officer- chief compliance- MLRO

CARLYLE

Jose Luis PEREZ

10.45 Coffee-networking

11.00

CSSF regulation 20-05 gap analysis

- What is new?
- The partition of work and delegation between RR and RC at funds level

Hervé BALLONE

Steven CURFS

Jose Maria CAMPOS DONDERIS

11.45

Funds distribution oversight/ Know Your Distributor

- What is meant by KYD and expectations from the regulator (how to be organised, initial DD *versus* ongoing DD)
- Exhaustive mapping and oversight of your distribution network for AML/CFT risk purposes
 - The AML/CFT sub-distribution risk
 - Sample testing on Distributors

Christophe BECUE

Jose Luis PEREZ

12.30 Q/A

12.45 Closing speech